

LEAP MARKET RISK DISCLOSURE STATEMENT (CONT'D)

Market are required to provide only key information about its business plan, operations and financial information. This may affect your ability to make fully informed investment decisions.

(iii) Liquidity Risks

As a market limited to sophisticated investors only, the LEAP Market may not have the trading activities or liquidity of the ACE Market or Main Market. You may not be able to exit your investment as easily as in the ACE Market or Main Market. Limited trading activities or illiquidity in the LEAP Market may increase the risk of loss by making it difficult to effect transactions or sell the LEAP Market securities.

2) This brief statement cannot disclose all the risks and other significant aspects of trading securities in the LEAP Market. You should understand the key characteristics, business plan and financial information of the companies listed on the LEAP Market throughly and carefully study all the risks associated with securities in the LEAP Market and/or seek independent professional advice before you decide to invest.

ACKNOWLEDGEMENT OF RECEIPT OF THIS RISK DISCLOSURE STATEMENT

I acknowledge that I have read the LEAP Market Risk Disclosure Statement and understand its contents which have been explained to me.

WITNESS BY:

I hereby confirm that the signature belongs to the applicant who has appeared in person before me.

Applicant Signature/ Authorized Signatory
(With Company Stamp/ Company Seal of Entity if applicable)

Witness Signature
(Dealer's Representative/ Notary Public/ Commissioner of Oaths)

Name: _____

Name: _____

NRIC No. / Passport No.
Armed Forces No. /
Police Personnel No.: _____

NRIC No. / Passport No.
Armed Forces No. /
Police Personnel No.: _____

Date: _____

Date: _____

Declaration on Sophisticated Investor

I declared that I am the Sophisticated Investor which is a person who falls within any one of the categories of investors set out in Part 1, Schedules 6 and 7 of the Capital Market and Services Act 2007 and I made this solemn declaration conscientiously believing the same to be true.

Applicant:

Witness:

Signature: _____

Signature: _____ Name: _____

Date: _____

Date: _____ NRIC: _____

Note: Current definition of Sophisticated Investor is a person having an annual income of RM 300K or combined income with spouse of RM 400K or Networth (excluding present residential property being occupied) of RM 3 Million. For type of Corporate the company assets must more than RM 10 Million or within the category of investors as set out in Part 1A & B, Schedules 6 and 7 of the CMSA.

DEALER'S REPRESENTATIVE DECLARATION

How long have I known the Applicant: _____ Year

Any relationship/ introduced by: _____

Contact to Average: Yes No

Other Information: _____

I, the Dealer's Representative servicing this account, accept all responsibilities financial or otherwise, for the Applicant. I declare and agree that the management of ASB have the sole-discretion to request for additional supporting document and I'm obliged to comply to the request.

Signed by: _____

Name: _____

Trader Code: _____

NRIC No: _____

Date: _____

FOR OFFICE USE ONLY

Credit Management Dept.

Checked Blacklist File: Yes No Client Annual Income: _____

Paid Up Capital: _____ Shareholder Fund: _____ Counterparty Limit: _____

Checking	Result
CTOS Info <input type="checkbox"/> Legal Action <input type="checkbox"/> Directorship/ Shareholder <input type="checkbox"/> No Record	<input type="checkbox"/> Summons; No of Summons: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="checkbox"/> Company with winding up petition Remarks: _____ Number of Directorships: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> Number of Shareholder: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="checkbox"/> Bankruptcy; No of Bankruptcy: <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="checkbox"/> Other Legal Action: _____ Remarks: _____
Remisier <input type="checkbox"/> Suspended <input type="checkbox"/> Not Suspended	Good Trading Record: <input type="checkbox"/> Yes <input type="checkbox"/> No Working Capital (RM): _____ Other Remarks: _____ _____
Vetted by:	Remarks: _____ _____ Date: _____
Recommendation for Approval: <input type="checkbox"/> A qualified Sophisticated Investor, permitted to BUY and SELL. <input type="checkbox"/> A current LEAP Market Company Shareholder but not Sophisticated Investor, permitted to SELL ONLY. <input type="checkbox"/> Not consider as a Sophisticated Investor, not permitted to buy or sell LEAP Market securities.	
Approved by: (e.g. HCM / HOO / EDD/ CEO)	Remarks: _____ _____ Date: _____

Operations Dept. (after approval)

Date Received: _____ Initial: _____

Data Entry by: _____ Date: _____

Verified by: _____ Date: _____

Effective Date: _____ Remarks: _____

Compliance Dept.

Checked by: _____ Date: _____

Remarks: _____