

APEX SECURITIES BERHAD [197901003400(47680-X)]

(Formerly known as JF Apex Securities Berhad) Securities Trading Account Opening Application Form

initially initiality doining	BLOCK LETTERS. The	e document(s) to subn	nit are ba	sed on a	ccount ty	ype (Genera	l)										
1) Personal / Inc a) Legible	dividual: copy of I.C (front and ba	ack nortion in t	the same	nage of A	4 size na	oper) and													
b) Income	Tax Returns for the pas	st 3 years (For	m J/ EA)	(upon mai	nagemer														
	3 months' salary slips (up t/ savings account staten		ent discre	etion) and/	' or														
	t copy of others broker C		t (upon m	anageme	nt discre	ion)													
2) Corporate / In		otration (Form	0) Matia	o of Pogia	tration		mo /E	orm 12	if or		otur	n of Allo	imont	ofS	horo	. <i>(</i> Eo	rm 0	1)	
	d copy of Notice of Regis ation of Change in the Re))
	Return of a Company ha					count (sa	mnle	unon re	aupo	st)									
d) Certifie	d copies of Financial Sta										nents	5							
f) Power	nent instruction (if any) of attorney (if applicable))																	
	t copy of others broker Ć	DS Statement	t (upon m	anageme	nt discrei	ion)													
Please tick where ap	oplicable						CE US	SF											
Application :						lient Acc													
Kajang (HQ)	Branch										7			1	1	1	1		_
Type of Account :			_		С	DS No.		: 07	79 – (00		-							
Retail	Margin 🗌 CTA	[Others	:	с	eiling Tra	ading	Limit (RM):] Y	es						Nil	
Type of Applicant :									Π,										
Personal	Corporate 🗌 Instit	tution				rading Li	mit (F	(IVI): [res_								Nil	
E-Trade User :					D	ate Open	ed	:											
	Foreign 🗌 Both	1			G	rouping /	/ Rela	ted Pa	rty(ie	es):									
															-				
FOR PERSONAL / INDI	VIDUAL INVESTOR	Note: Fo	r Corpor	rate / Inst	titutiona	l Investo	ors go	to pa	ge 3										
				1		Г													
Title:	Mr.	Miss		Madam	l		C	thers,	, ple	ase	spe	cify:							
Name of Applicant:																			
(As per NRIC / Passport / Authority Card)				+ $+$ $+$							-								
New NRIC / Passport /																			
New NRIC / Passport / Authority Card No. :								0	ld N	IRIC	No.	:							
Authority Card No. :											No.	:		mal					
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Authority Card No. :											No.	:	Fe	mal	e				
Authority Card No. : Date of Birth :											No.	:	Fe	emal	e				
Authority Card No. : Date of Birth :	Malaysia	Other	s, pleas		íy:						No.	:	Fe	emal	e				
Authority Card No. : Date of Birth : Country of Birth:	Malaysia		rs, pleas	se specif							No.	:	Fe	emal	e				
Authority Card No. : Date of Birth : Country of Birth:	Malaysia	Other	-	se specif		ers, plea	ISe				No.			emal		pene	dent	ts:	
Authority Card No. : Date of Birth : Country of Birth: Nationality: Marital Status:			-	se specif	Othe		ıse				No.					pen	den	ts:	
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Note: Client / Authorised signatory(ies) is(are) to initial on any alteration(s)

SPOUSE / NEXT OF KIN	(If App	licab	le)																															
Name:																																		
New NRIC / Passport No.:														Old	NRI	C No	s.:																	
Name of Employer:																																		
Occupation:															<u>.</u>			N	o. of	Yea	ars i	n Se	rvice	:										
Employer's Address:																																		
Contact No.:																																		
EMPLOYMENT / BUSINE	SS																																	
Name of Employer / Business:																																		
Address:																																		
Employer's Nature of Business:																																		
Position Held:																							ears vice											
Gross Annual Income (RM): (Tick whichever is applicable)	RM	M20,000) and Be	low		R	M20,0	01 — F	RM40,0	00		RM	40,001	– RN	160,00	0			RM	60,00	1 – R	M100,	000											
	RN	/100,00	01 – RM	250,000		R	M250,	001 –	RM500),000		RM	500,00	1 – R	M1,00	0,000			Abo	ve RI	V1,00	0,000												
Source of Funds: (Tick whichever is applicable)	Er	nploym	ent			s	avings	;				Bus	siness	Incom	e				Inve	stme	nt Inc	ome												
Estimated Net Worth (RM): Including current residence (if own)* (Tick whichever is applicable)	RM	//50,000) and Be	low		R	M50,0	01 — F	RM100,	000		RM	100,00	1 – R	M200,	000			RM2	200,0	01 – I	RM500	0,000	RM500,001 – RM1,000,000 RM1,000,001 – RM3,000,000 Above RM3,000,000										
Including current residence (if own)*					00						00					000			RM2	200,0	01 – I	RM500),000											
Including current residence (if own)* (Tick whichever is applicable) * Current estimated market va	R	<i>И</i> 500,00	01 – RM	1,000,00		R					00					000			RM2	200,0	01 – I	RM500	J,000											
Including current residence (if own)* (Tick whichever is applicable)		<i>И</i> 500,00	01 – RM 1 ce (if c	1,000,00): RM .		0,001			00	Abo		3,000	0,000	000					01 – I nt Inc		J,000											
Including current residence (if own)* (Tick whichever is applicable) * Current estimated market va Source of Wealth:	LUE OF re	4500,00 esider	D1 – RM ace (if c ent	1,000,00	operty): RM .	M1,00	0,001			00	Abo	ove RM	3,000	0,000	000							J,000											
Including current residence (if own)* (Tick whichever is applicable) * Current estimated market va Source of Wealth: (Tick whichever is applicable)	LUE OF re	4500,00 esider	D1 – RM ace (if c ent	1,000,00	operty): RM .	M1,00	0,001			00	Abo	ove RM	3,000	0,000	000																		
Including current residence (if own)* (Tick whichever is applicable) * Current estimated market va Source of Wealth: (Tick whichever is applicable) BANK PARTICULARS (f	LUE OF re	4500,00 esider	D1 – RM ace (if c ent	1,000,00	operty): RM .	M1,00	0,001				Abo	ove RM	3,000	0,000										 									

FOR CORPORATE	/ IN	STI	τυτ	ION	AL	INV	EST	OR	S																									
Name of Entity/ Company										•																								
Entity/ Company Registration No.:																Cou Reg	unti jist	ry o rati	f Or on:	igir	1/	<u> </u>	1										<u> </u>	
Date of Incorporation:														ce c orp		ion				Ма	lay	sia			Ot sp	he ec	rs, p ify	ole	ase	-				
Entity/ Company Address: (As per registration																																		
document)																															 			
Postcode:								1	Точ	vn:																								
State:																		Co	oun	try:														
Mailing Address: (If different from registered address.																																		
C / O or P.O.Box address is not allowed)																																		
Postcode:								•	Точ	vn:																								
State:	ate: Country:																																	
Contact No.:	Pho	one	:																			Fa	x:											
Contact Person:																																		
E-mail Address:																																		
CORPORATE INFO	DRM	ΑΤΙ	ON																															
Nature of Busines	s:																																	
Type of Organisat	ion:			Priva	ate	Corr	npan	iy		Pub	olic C	omp	an	y		GL	С		In	stitu	utio	n		Oth	ers,	ple	ease	sp	becif	y:				
Paid-up Capital (RM):														1	As /	At (C	Date	e):																
Shareholders' Funds (RM):														1	As /	At (E	Date	ə):																
Latest Profit & Loss (RM):														1	As /	At (E	Date	e):																
BANK PARTICUL	ARS	(foi	r e-t	rans	sact	ion	pay	mer	nt pu	ırp	oses	;)																						
Bank Name:																																		
Account No.:																																		
Account Type: Note: Client / Authorised	signa	tory		Curr is(are					terati	on(s		erdr	aft					Oth	ers	, ple	eas	e st	ate									-		

			Other I	nformation		
1.	What is your investment obje	ective in dealing securities	5?			
	Please tick I where applic			Investment	Others	
2.	Do you have any experience	and knowledge in securi	ties trading?			
	Please tick I where applic		-	Limited Experience	☐ Experience (≥	3 years)
3.	Existing account with Apex S	Securities Berhad ?				
	Yes, Account No.:			🗌 No		
4.	Any trading account maintain Organisation)	ed with other Participating	g Organisation of E	Bursa Malaysia Securities Ber	had? (If yes, please spe	cify name of the Participating
	i					
	ii					
5.	Is the Applicant related to an and relationship)				pex Securities Berhad?	(If yes, please provide name
	Name			Relationshi	p	
	i					
	ii					
6.	COMPULSORY TO DISCLO Berhad? Related persons: a corporate / institutional the (If yes, please provide name,	spouse, partner, partne related persons which	rship, partner's s is the corporatio	spouse and companies und n and the corporation's Rel	er your control and/ o	r spouse's control, OR for
	Name of Rela	ted Person	Tra	ding Account Number		Relationship
	a					
	a					
	b					
	с.					
Note	e: Client / Authorised signatory(ies) is	s(are) to initial on any alteratior	n(s)			
		Delivery o	of Electronic (Contract Notes / Stater	ments	
	Ve refer to the opening of t nthly statement/ custody sta			CURITIES BHD and wish t	o request you to issue	e and deliver my daily and
Мс	ode of Delivery : E-	mail only (as per ema	ail furnished)			
		ebsite (www.apexsed	curities.com.m	y / www.apexsec.com.my	y)	
			Letter of Pay	ment Instruction		
	Ne hereby request all pay reinafter, "ASB", from time					-
	Credit into my ASB Acc	count as Trust Monie	s (Default Cred	lit ESP)		
	Credit Directly Into My/	Our Bank Account (I	Herein defined	as ESP):		
	Bank Account No.:					
	Bank Name:					
	Account Type:] Savings	Current			
In	consideration of ASB agree	eing to this request, I/	we hereby agre	e:-		
(i) (ii)	liabilities, expenses, and To refund to ASB of any	d cost whatsoever incu	urred by ASB in	nd unconditionally indemn connection with this reque	est herein.	-
(iii)	 The above request sha received and acknowled 			iting by me/ us and the		

ASB/GE-OPS/001/V16/20230728

	Declaration on Politically Exposed Person (PEP)
Declaration whether a PEP* (mand	atory, please tick appropriately):
NO , I am not / we are not a	PEP or close associate to PEP
YES, I am / we are PEP or	close associate to PEP. [Kindly complete the following information required below]
1. Status of PEP:	A Local PEP.
	A <u>Foreign</u> PEP.
2. Relationship with PEP:	Is a close associate to local PEP.
	Is a close associate to <u>foreign</u> PEP.
a senior position in government s officials (this is not intended to co organization. Close associates partners or associates, immediate	P) refers to a natural person who is or has been entrusted with prominent public functions, who is holding service, a senior politician, senior executive of state owned corporations, or an important political party ver middle ranking or more junior officials), whether it is locally, or in a foreign country, or an international to PEP herein refers to any individual who is closely connected to a PEP and this includes business e and extended family members (biological and non-biological, such as adopted or step children), close viduals, prominent members of the same organisation as the PEP, and individuals who are or have been
De	eclaration on Foreign Currency Securities Trading Facilities
	vare that I/we shall at all times comply with the applicable BNM FEA for the purposes of investment in o comply with the BNM FEA, I/we do solemnly and sincerely declare and affirm as follows (mandatory,
a. 🔲 I/We am/are resident o	of Malaysia and
	<u>E domestic ringgit credit facilities</u> ² and hereby undertake to inform the Company immediately should I/we ggit facilities or upon request by the Company.
	ic ringgit credit facilities and are thus allowed to convert up to RM1,000,000.00 in aggregate ³ for individual 0,000.00 in aggregate for corporation, on a corporate group basis per calendar year for investment in sets.
b. 🔲 I/We am/are non-resid	ent of Malaysia.
¹ Foreign Currency assets include:	
	nshore and offshore; and ign currency product marketed by licensed onshore banks, licensed international Islamic banks and any
	Ther or Foreign Exchange. refers to any credit facility, financing facility, trade guarantee or guarantee for payment of goods, r similar facility in whatever name or form, <u>other than / except for</u> :

- a) Trade credit terms extended by a supplier for all types of goods and services;
- b) Forward contract with a licensed onshore bank excluding a contract that involves the exchanging or swapping of ringgit or foreign currency debt obligation with another foreign currency debt obligation; or the exchanging or swapping of foreign currency debt obligation with a ringgit debt obligation;
- c) A credit facility or financing facility obtained by a Resident individual from a Resident to purchase one residential property and one vehicle;
- d) Credit card and charge card facility obtained by a Resident individual from a Resident;
- e) Performance guarantee or financial guarantee;
- f) Operational leasing facility; or
- g) Factoring facility without resource.

³ In aggregate means the cumulative amount outstanding so far and not based on calendar year.

Initial

ASB/GE-OPS/001/V16/20230728

Declaration on Foreign Acc	count Tax Compliance Act (FATCA)
DECLARATION WHETHER UNITED STATES OF AMERICA ("	US") PERSONS (Tick one only)
I am/ we are NOT a US person/corporation as defi	ned under FATCA.
I am/ we are a US person/corporation*. [I/we und from ASB website (<u>www.apexsecurities.com.my</u>) w	erstand that I/We must complete form W-9 which can be obtained when I/we trade on US markets.]
US Identification Number:	US Taxpayer Identification Number:
We are a FATCA registered Foreign Financial Inst	itution (FFI). GIIN:
We are NOT a FATCA registered Foreign Financia	al Institution (NFFI).
* A US person is defined as a US citizen, green cardholder person liable to pay tax in the US.	or resident in the US for 183 days or more in a calendar year or any
CRS Self-Certification (Client w	ith Tax Residency Outside of Malaysia)
	ROVIDE UP TO DATE INFORMATION ABOUT YOUR TAX RESIDENCY. IF YOU ENTITY, PLEASE ENSURE THAT YOU INDICATE THAT YOU HAVE DONE SO SELF-CERTIFICATION FORM.
	IT THE OECD WEBSITE AT <u>HTTP://WWW.OECD.ORG/TAX/AUTOMATIC-</u> ROFESSIONAL TAX ADVISERS AS WE ARE NOT ALLOWED TO GIVE TAX
Declaration of the Tax Residency (Tick one only)	
My taxable income are subject to tax in Malaysia ONL	Y or not subject to tax anywhere.
My taxable income are subject to tax outside of (oth information required below]	er than) Malaysia. [Kindly complete and provide the following
1. Taxpayer Identification Number ("TIN")	
Country of Tax Residence (1):	TIN (1):
Country of Tax Residence (2):	TIN (2):
(Please add if more than 2)	
Please state the reason if the TIN are unobtainable:	
2. Please enter the legal name of the relevant Entity Acco	unt Holder(s) of which you are a Controlling Person (and holding
directorship) of more than 25% shareholding. (IF APPLICA	BLE)
Legally Registered name of Entity 1:	(1) % Shareholding: %
Legally Registered name of Entity 2:	(2) % Shareholding:%
Note: If you are not the Account Holder please indicate 'Authorised Officer'). If signing under a power of attorney p	e the capacity* in which you are signing the Form (for example lease also attach a certified copy of the power of attorney.

Capacity*: _

* The word "capacity" refers to your designation/ position (e.g. secretary/ lawyer/ etc.) OR your relationship (e.g. wife/ brother/ etc.) with the Account Holder.

ASB/GE-OPS/001/V16/20230728

Form of Disclosure by Client

THIS DECLARATION IS REQUIRED AS PER BMSB RULE 5.15 (8) & (9), YOU ARE REQUIRED TO DISCLOSE WHETHER ANY DEALING IN SECURITIES IN
RESPECT OF YOUR TRADING ACCOUNT IS CONDUCTED FOR YOURSELF OR ON BEHALF OF ANOTHER PERSON.

I/ We do solemnly declare that the dealings in securities in respect of my/ our trading account shall be conducted :-

For me as principal

Fo	or and on behalf of the p	arty set out below, f	from whom, throug	h whom or on whose	behalf the securities	are to be dealt with
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PARTICULARS

Name of party concerned: _

Correspondence / Registered address: ___

Declaration and Agreement by the Client

I/ We hereby declare that I/ We have read and fully understood the terms and conditions for dealing in securities, declarations, risk and obligations associated with the trading in securities, and/or supplementary terms and conditions as posted on the Company website at https://www.apexetrade.com/ (which shall include any amendment, variation, modification, supplement, substitution and replacement made by Apex Securities Berhad from time to time), along with this Securities Trading Account Opening Application Form, collectively referred to as "Agreement", as prescribed at the following.

I/ We confirm that I/ We have read and understood the following sections and shall be bound by the terms, conditions and/or requirements in the Agreement, and agree to abide by the same:

☑ Please tick where applicable

	Risk Disclosure Statement
	Terms & Conditions
	Letter of Pledge (For Securitized Trading Accounts – STA) – If Applicable
	Facility Limit Requested (RM):
	Authorization to Set Off, Lien over Securities and Indemnity (For Collateralised Trading Account – CTA) – If Applicable
	Facility Limit Requested (RM):
	Delivery of Electronic Contract Notes / Statements
	Letter of Payment Instruction
	Declaration on Politically Exposed Person (PEP)
	Declaration on Foreign Currency Securities Trading Facilities
	Declaration on Foreign Account Tax Compliance Act (FATCA)
	CRS Self-Certification (Client with Tax Residency Outside of Malaysia)
	Form of Disclosure by Client
	The Company's Privacy Statement (Please refer: <u>www.apexequity.com.my</u>)
	e make this solemn declaration conscientiously believing the same to be true. RIBED AND SOLEMNLY declared by the account holder:
This	day of 20

Signature of Client (Individual / Corporate) Delete where not applicable	:(Authorised Signatory/ ies)	_ Signature of Witness : (Licensed Dealer's Representative, Authorised Personnel or Management of ASB or a Commissioner for Oaths / Notary Public)	
Name of Client / Compan	y :	Name of Witness	
NRIC / Passport No. / Registration Number	:	NRIC / Passport No	
Designation	:	-	

Please affix company stamp / seal (if applicable) (for Corporate Application only)

To Be Completed By Dealer's Representative

Foreign Account Tax Compliance Act (FATCA) PART 1: Checklist for Documents to be Submitted by Client Declaration by Applicant whether US Person or Financial Institution Foreign Share Trading Facility US Share Trading (Non-US Person Client for Individual to sign Form W-8 BEN, for Non-Individual to sign Form W-8 BEN-E) US Share Trading (US Person Client for Individual / Non-Individual to sign Form W-9) PART 2: Checklist for KYC Due Diligence Tick √ For US Tax Filing Purposes the following are considered US Persons Verification required for the following if YES A citizen of the US, including someone born in the US but living in another country, who has US passport: or 1 not renounced or otherwise relinquished their US citizenship. US Certificate of Incorporation, etc. US residential address: or 2 A lawful resident of the US, including a US green card holder. US business address/place incorporated. US mailing address; or 3 A person residing in the US. US corporate mailing address. 4 US contacts used for communication. Someone spending a specified amount of time in the US. A green card holder who never formally handed in their green card upon leaving the US US passport; or 5 (even though the green card is no longer valid for US immigration purposes) US Certificate of Incorporation, etc. US persons as parents: or 6 The child of a US citizen provided a parent lived in the US for a specified time period US persons as beneficiary. Identification Card/Document indicating born in 7 A US place of birth US (e.g. M'sian NRIC No.: xxxxxx - 91 - xxxx). A current US residence or mailing address US mailing address; or 8 (including a US PO Box) US corporate mailing address. Telephone number with country code US 9 A current US telephone number (i.e. +1-213-123-4567). Standing instructions to pay amounts from a foreign (meaning non US) account to an account Payment mode elected - to remit to US bank 10 maintained in the United States account or in USD. A current power of attorney or signatory authority granted to a person with a US address or c/o US address or Power of Attorney held by US 11 having a US "in-care-of" or "hold mail" address that is the sole address with respect to the lawyer. account holder Note: if any of the above ticked (yes), to conduct additional enquiry/checks as to possible classification as a US person.

Common Reporting Standard (CRS) - Review of Tax Residency Self-Certification

Checklist for KYC Due Diligence For tax filing purposes the following are considered Foreign Tax Residency Tick √ Verification required for the following (note: Foreign refers to any countries other than Malaysia) if YES Foreign passport; or 1 A foreign citizen, including someone born in Malaysia but living in another country. Foreign Certificate of Incorporation, etc. A lawful resident outside Malaysia, including a non-Malaysian Permanent Resident (PR) Foreign residential address; or 2 Foreign business address/place incorporated. status Foreign mailing address; or 3 A person residing outside Malaysia. Foreign corporate mailing address 4 Someone spending a specified amount of time outside Malaysia Foreign contacts used for communication. A Permanent Resident (PR) who never formally surrender their PR status upon leaving the Foreign passport; or 5 country (even though the PR status is no longer valid for the immigration purposes). Foreign Certificate of Incorporation, etc. The child of a foreigner (non-Malaysian citizen) provided a parent lived in the foreign country Parents with foreign citizenship; or 6 for a specified time period. The beneficiary has foreign citizenship Identification Card/Document indicating born 7 Foreign country (e.g. M'sian NRIC No.: xxxxxx -A non-Malaysian place of birth. 82 - xxxx). A current non-Malaysian residential or mailing address Foreign mailing address; or 8 (including a non-Malaysian PO Box) Foreign corporate mailing address. Telephone number with foreign country code 9 A current non-Malaysian telephone number. (i.e. +44-1234-123456). Standing instructions to pay amounts from a Malaysian bank account to an account Payment mode elected - to remit to foreign bank 10 maintained in foreign country. account or in foreign currency A current power of attorney or signatory authority granted to a person with a non-Malaysian c/o non-Malavsian address or Power of Attorney address or having a non-Malaysian "in-care-of" or "hold mail" address that is the sole address 11 held by non-Malaysian lawyer. with respect to the account holder. Note: if any of the above ticked (yes), to conduct additional enquiry/checks as to possible classification as foreign tax residency.

Dealer's Representative Declaration

How long have I known the Applicant	:	 Year

Any relationship / introduced by:

Trading / Margin Facility Limit requested : (RM) ____

Contract to average: NO

Other information (if any):

I, the Dealer's Representative servicing this account, propose the above trading limit for the Applicant and accept all responsibilities financial or otherwise, for the Applicant. I declare that I have explained to the Applicant and the Applicant has understood the terms and conditions herein, the contents of the Agreement (where applicable) and the risks and obligations associated with the trading in securities and the usage of (including the terms and conditions) for the OTA online trading (where applicable). I further declare and agree that the management of Apex Securities Berhad (ASB) have the sole-discretion to request for additional supporting document and I'm obliged to comply to the request.

Signed by:

Date :

Name

Trader Code

NRIC No

Apex Securities Berhad